

09-06-1005 Rec'd PCT/PTO 04 SEP 2001

FORM PCT/IS09 (Modified)  
REV. 11-2000

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

83649

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 C

CONCERNING A FILING UNDER 35 U.S.C. 371

09/914902

INTERNATIONAL APPLICATION NO.  
PCT/ES01/00011INTERNATIONAL FILING DATE  
17 January 2001PRIORITY DATE CLAIMED  
17 January 2000

TITLE OF INVENTION

MECHANISM FOR REGULATING COLLAPSIBLE ELEMENTS

APPLICANT(S) FOR DO/EO/US

MARANON, MARQUINA, Miguel

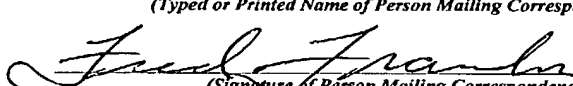
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="font-size: 2em; font-weight: bold; text-align: center;">09/914902</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/ES01/00011</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">83649</div>			
<div>24. The following fees are submitted:</div> <div><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> <div><input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</div><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div></div>				<div><b>CALCULATIONS PTO USE ONLY</b></div> <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<div>\$1,000.00</div> <div>\$130.00</div>			
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE	
Total claims		8 - 20 =		0		x \$18.00	
Independent claims		1 - 3 =		0		x \$80.00	
Multiple Dependent Claims (check if applicable).				<input type="checkbox"/>		\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>						<b>\$1,130.00</b>	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.						\$0.00	
<b>SUBTOTAL =</b>						<b>\$1,130.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).						\$0.00	
<b>TOTAL NATIONAL FEE =</b>						<b>\$1,130.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).						<input type="checkbox"/> \$0.00	
<b>TOTAL FEES ENCLOSED =</b>						<b>\$1,130.00</b>	
						Amount to be refunded	\$
						charged	\$
<div><div><div>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,130.00</u> to cover the above fees is enclosed.</div><div>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div><div>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0920</u> A duplicate copy of this sheet is enclosed.</div><div>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</div></div></div>							
<div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</div> <div>SEND ALL CORRESPONDENCE TO:</div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><div>Gerald T. Shekleton, Esq. WELSH &amp; KATZ, LTD. 120 S. Riverside Plaza 22nd Floor Chicago, Illinois 60606 (312) 655-1500</div></div>							
<div style="text-align: right;"><div><u>Gerald T. Shekleton</u> SIGNATURE</div><div><u>Gerald T. Shekleton</u> NAME</div><div><u>27,466</u> REGISTRATION NUMBER</div><div><u>9/1/01</u> DATE</div></div>							

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			<b>09/914902</b> 83649
Applicant(s): <b>MARQUINA</b>			
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: <b>MECHANISMS REGULATING COLLAPSIBLE ELEMENTS</b>			
I hereby certify that the following correspondence:			
<b>371 Patent Application</b>			
<i>(Identify type of correspondence)</i>			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on			
<u>September 4, 2001</u> <i>(Date)</i>			
<b>Fred Franks</b> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>			
 <i>(Signature of Person Mailing Correspondence)</i>			
<b>EL854676493US</b> <i>("Express Mail" Mailing Label Number)</i>			
Note: Each paper must have its own certificate of mailing.			